

Electronic Acknowledgement Receipt

EFS ID:	4673432
Application Number:	10825182
International Application Number:	
Confirmation Number:	8293
Title of Invention:	METHOD OF STRUCTURING AND USING A PERFORMANCE-BASED PARTICIPATION CERTIFICATE
First Named Inventor/Applicant Name:	David M. Brickman
Customer Number:	22852
Filer:	Nathan A. Sloan/Gwendolyn Ramsey
Filer Authorized By:	Nathan A. Sloan
Attorney Docket Number:	05997.0043
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1510
RAM confirmation Number	10475
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Issue Fee Payment (PTO-85B)	05997_0043_issue_fee.pdf	89743 4a8b2d9613c8f971a5a10d6bc8b4d3c5d477f1	no	1
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Warnings:

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	30473 c277b49f598b1ad815eac29d6bcb67865401e1c7	no	2
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Warnings:

Information:

Total Files Size (in bytes): 120216

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.